

CURRICULUM VITAE

Richard E. Caves

Born: Akron, Ohio, November 1, 1931

Positions held: Harvard University, Teaching Fellow, 1955–7
University of California, Assistant Professor, 1957–9.
University of California, Associate Professor, 1959–62.
Harvard University, Professor, 1962–
Harvard University, Department Chairman, 1966–9
Harvard University, George Gund Professor of Economics and Business
Administration, 1986–97; Ropes Professor, 1997–2003. Nathaniel Ropes
Research Professor of Political Economy, 2003–
Harvard University, Chairman, Ph.D. in Business Economics, 1984–1997
Board of Editors, *Review of Economics and Statistics*, 1992–1996

Principal government and consulting activities: consultant on international monetary problems, U.S. Council of Economic Advisors, 1961; deputy to Special Assistant to the President on foreign trade policy, 1961; member, Review Committee for Balance of Payments Statistics (Bernstein Committee), U.S. Bureau of the Budget, 1963–4; member, White House Task Force on Foreign Economic Policy, 1964; consultant, Council of Ontario Universities, 1972–3; consultant, Royal Commission on Corporate Concentration, 1975–6.

Awards and prizes: Wells Prize, Harvard University, 1957–8; Ford Foundation Faculty Research Fellowship, 1959–60; Gerard C. Henderson Prize, Harvard Law School, 1966; Fellow, American Academy of Arts and Sciences, 1968; Galbraith Prize, 1976, 1981; Kenan Enterprise Award, 1990; Doctor of Economic Science, University of London, 1999; Eminent Scholar, Academy of International Business, 1999; Distinguished Scholar Award, Academy of International Business, 1998; Doctor of Economic Science, University of London, 1999; Distinguished Scholar, Industrial Organization Society, 2000.

Principal Books and Articles since 1982:

(with Joel B. Rosen). "Uncertainty, Transactions Costs, and the Size Distribution of Rival Firms: Theory and Evidence from the Women's Outerwear Industry," *Quarterly Review of Economics and Business* 22 (Autumn 1982), 6–22.

(with Ralph M. Bradburd). "A Closer Look at the Effect of Market Growth on Industries' Profits," *Review of Economics and Statistics* 64 (November 1982), 635–45.

"Multinational Enterprises and Technology Transfer," *NEW THEORIES OF THE MULTINATIONAL ENTERPRISE*, ed. Alan M. Rugman. London: Croom Helm Ltd., 1982. Pp. 254–79.

- MULTINATIONAL ENTERPRISE AND ECONOMIC ANALYSIS. Cambridge, England: Cambridge University Press, 1982. Pp. xi, 346. 2nd ed., 1996.
- (with Thomas A. Pugel). "New Evidence on Competition in the Grain Trade," *Stanford Food Research Institute Studies* 18 (No. 3, 1982), 261–74.
- (with Daniel C. Esty). "Market Structure and Political Influence: New Data on Political Expenditures, Activity, and Success," *Economic Inquiry* 21 (January 1983), 24–38.
- (with Harold Crookell and J. Peter Killing). "The Imperfect Market for Technology Licenses," *Oxford Bulletin of Economics and Statistics* 45 (August 1983), 259–67.
- "Economic Analysis and the Quest for Competitive Advantage," *American Economic Review* 74 (May 1984), 127–32.
- "Harry Johnson as a Social Scientist," *Journal of Political Economy* 92 (August 1984), 642–58.
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- (with Lawrence B. Krause, co-editor). THE AUSTRALIAN ECONOMY: A VIEW FROM THE NORTH. Washington: Brookings Institution, 1984. Includes "Scale, Openness, and Productivity in Manufacturing." Pp. 313–45.
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- (with Karen B. Hisey). "Diversification Strategy and Choice of Country: Diversifying Acquisitions Abroad by U.S. Multinationals, 1978–1980," *Journal of International Business Studies* 16 (Summer 1985), 51–64.
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- (with Peter J. Williamson). "What is Product Differentiation, Really?," *Journal of Industrial Economics* 34 (December 1985), 113–32.
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- (with Bruce C. Petersen). "Cooperatives' Tax `Advantages': Growth, Retained Earnings, and Equity Rotation" *American Journal of Agricultural Economics* 68 (May 1986), 207–13.
- (with Bruce C. Petersen). "Cooperatives' Shares in Farm Industries: Organizational and Policy Factors," *Agribusiness* 2 (Spring 1986), 1–19.

- "Vertical Restraints in Manufacturer-Distributor Relations: Incidence and Economic Effect," in REGULATION AND ANTITRUST, ed. Ronald E. Grieson. Lexington, MA: Lexington Books, 1986. Pp. 29–51.
- (with Victor L. You et al). "Mergers and Bidders' Wealth: Managerial and Strategic Factors," THE ECONOMICS OF CORPORATE STRATEGY, ed. Lacy Glenn Thomas III. Lexington, MA: Lexington Books, 1986. Pp. 201–21.
- (with Sanjeev Mahra). "Entry of Foreign Multinationals into U.S Manufacturing Industries," in COMPETITION IN GLOBAL INDUSTRIES, ed. Michael E. Porter. Boston: Harvard Business School Press, 1986. Pp. 449–81.
- "Exporting Behavior and Market Structure: Evidence from the United States," in MAINSTREAMS IN INDUSTRIAL ORGANIZATION, Vol. 1, ed. H. W. de Jong and W. G. Shepherd. Dordrecht: Kluwer Academic, 1986. Pp. 189–230.
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